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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/767,115	
	Filing Date	1/28/2004	
	First Named Inventor	Joshua D. Rabinowitz	
	Art Unit	Not yet known	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission		Attorney Docket Number	00029.11 CON

ENCLOSURES (check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303 Elaine C. Stracker, Ph.D., J.D. Telephone (650)-687-3905	Customer Number 37485
Signature		
Date	SEP. 24 2004	

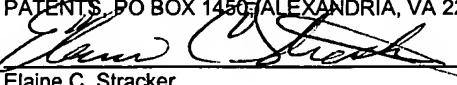
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PATENT
Attorney's Docket No.: 00029.11CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rabinowitz et al.
Serial No.: 10/767,115
Filed: 1/28/2004
Title DELIVERY OF ANTIPSYCHOTICS THROUGH AN INHALATION ROUTE

Mail Stop Amendment
Commissioner for Patents
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:


In accordance with their continuing duty of disclosure under 37 CFR §§ 1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 502731**. Please direct all correspondence to the following customer number.

Respectfully submitted,

Date: SEP. 24 2004


Elaine C. Stracker J.D., Ph.D., Reg. 43,166

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Form PTO-1449

Docket Number: 00030.03CON

Application Number: 10/735,198

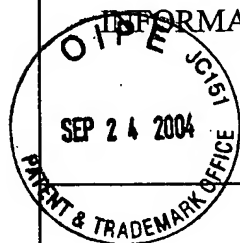
Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)



U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/02/1971	3,560,607	Hartley et al.			
	2.	05/27/1980	Re. 30,285	Babington			
	3.	08/12/1986	4,605,552	Fritschi			
	4.	01/23/1990	4,895,719	Radhakrishnun et al.			
	5.	09/17/1991	5,049,389	Radhakrishnun			
	6.	08/31/1993	5,240,922	O'Neill			
	7.	02/14/1995	5,388,574	Ingebrethsen			
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	9.	12/09/1997	5,694,919	Rubsamen et al.			
	10.	04/07/1998	5,735,263	Rubsamen et al.			
	11.	04/14/1998	5,738,865	Baichwal et al.			
	12.	06/02/1998	5,758,637	Ivri et al.			
	13.	06/16/1998	5,767,117	Moskowitz			
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	24.	07/03/2001	6,255,334	Sands			
	25.	10/23/2001	6,306,431	Zhang et al.			

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number: 00030.03CON		Application Number: 10/735,198	
	Applicant: Joshua D. RABINOWITZ et al.			
	Filing Date: 12/12/2003		Group Art Unit: Not Yet Known	

	26.	01/14/2003	6,506,762	Horvath et al.			
	27.	02/04/2003	6,514,482	Bartus et al.			
	28.	07/15/2003	6,591,839	Meyer et al.			
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	34.	08/22/2002	US 2002/0112723	Schuster et al.			
	35.	11/28/2002	US 2002/0176841	Barker et al.			
	36.	01/02/2003	US 2003/0004142	Prior et al.			
	37.	02/13/2003	US 2003/0032638	Kim et al.			

RELATED ALEXZA MOLECULAR DELIVERY CORPORATION PATENT DOCUMENTS

	38.	08/04/2003	10/633,876	Hale et al.			
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	40.	12/30/2003	10/749,537	Rabinowitz et al.			
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	53.	01/30/2004	10/769,046	Rabinowitz et al.			
	54.	01/30/2004	10/769,051	Rabinowitz et al.			

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Docket Number: 00030.03CON

Application Number: 10/735,198

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

	55.	01/29/2004	10/769,157	Rabinowitz et al.			
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	83.	01/16/2003	US 2003/0012738	Rabinowitz et al.			
	84.	1/16/2003	US 2003/0012740	Rabinowitz et al.			

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Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

	85.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
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	114.	8/12/2004	US 2004/0156790	Rabinowitz et al.			

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Docket Number: 00030.03CON

Application Number: 10/735,198

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

115. 08/12/2004

US 2004/0156791

Rabinowitz et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	116.	03/14/1990	EP 0 358 114	Europe			
	117.	07/03/2001	EP 1 080 720	Europe			
	118.	08/29/2001	EP 0 606 486	Europe			
	119.	04/11/1996	WO 96/09846	WIPO			
	120.	05/09/1996	WO 96/13161	WIPO			
	121.	05/09/1996	WO 96/13290	WIPO			
	122.	05/09/1996	WO 96/13291	WIPO			
	123.	05/09/1996	WO 96/13292	WIPO			
	124.	10/03/1996	WO 96/30068	WIPO			
	125.	08/07/1997	WO 97/27804	WIPO			
	126.	10/09/1997	WO 97/36574	WIPO			
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	128.	05/28/1998	WO 98/22170	WIPO			
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	130.	08/27/1998	WO 98/36651	WIPO			
	131.	09/03/1998	WO 98/37896	WIPO			
	132.	04/08/1999	WO 99/16419	WIPO			
	133.	12/16/1999	WO 99/64094	WIPO			
	134.	01/06/2000	WO 00/00176	WIPO			
	135.	01/06/2000	WO 00/00215	WIPO			
	136.	05/18/2000	WO 00/27363	WIPO			
	137.	05/25/2000	WO 00/29053	WIPO			
	138.	09/17/2000	WO 00/47203	WIPO			

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	Applicant: Joshua D. RABINOWITZ et al.			
	Filing Date: 12/12/2003		Group Art Unit: Not Yet Known	

	139.	11/02/2000	WO 00/64940	WIPO			
	140.	11/09/2000	WO 00/66084	WIPO			
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	144.	03/01/2001	WO 01/13957	WIPO			
	145.	12/20/2001	WO 01/95903	WIPO			
	146.	01/03/2002	WO 02/00198	WIPO			
	147.	03/28/2002	WO 02/24158	WIPO			
	148.	03/28/2002	WO 02/24158	WIPO			

OTHER DOCUMENTS*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title
	149.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.
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	151.	Clark, A. and Byron, P. (1986). "Dependence of Pulmonary Absorption Kinetics on Aerosol Particle Size," <i>Z. Erkrank.</i> 166:13-24.
	152.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society.</i> 966-974.
	153.	Davies, C.N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology.</i> 32(5):591-600.
	154.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology.</i> 93(3): 619-628.
	155.	Finlay, W.H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14.(Table of Contents). pp. v-viii.
	156.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE	

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Docket Number: 00030.03CON Application Number: 10/735,198 <hr/> Applicant: Joshua D. RABINOWITZ et al. <hr/> Filing Date: 12/12/2003 Group Art Unit: Not Yet Known <hr/>	
	157.	Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry & Behavior</i> . 36(1):1-7.	
	158.	Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μ m," <i>J. Aerosol Sci.</i> 17(5):811-822.	
	159.	Huizer, H., "Analytical studies on illicit heron. V. Efficacy of volatilization during heroin smoking." <i>Pharmaceutisch Weekblad Scientific Edition</i> (1987). 9(4):203-211.	
	160.	Hurt, R.D., MD and Robertson, C.R., PhD, (October 1998). "Prying Open the Door to the Tobacco Industry's Secrets About Nicotine: The Minnesota Tobacco Trial," <i>JAMA</i> 280(13):1173-1181.	
	161.	Lichtman, A.H. et al. (1996). "Inhalation Exposure to Volatilized Opioids Produces Antinociception in Mice," <i>Journal of Pharmacology and Experimental Therapeutics</i> . 279(1):69-76.	
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	164.	Meng, Y. et al. "Inhalation Studies With Drugs of Abuse," <i>NIDA Research Monograph</i> , (1997) 173:201-224.	
	165.	Meng, Y., et al. (1999). "Pharmacological effects of methamphetamine and other stimulants via inhalation exposure," <i>Drug and Alcohol Dependence</i> . 53:111-120.	
	166.	Office Action mailed 8/13/2003 for US application 10/153,313 filed 05/21/2002 "DELIVERY OF BENZODIAZEPINES THROUGH AN INHALATION ROUTE".	
	167.	Pankow, J.F. et al. (1997). "Conversion of Nicotine in Tobacco Smoke to Its Volatile and Available Free-Base Form Through the Action of Gaseous Ammonia," <i>Environ. Sci. Technol.</i> 31:2428-2433.	
	168.	Pankow, J. (March 2000). ACS Conference-San Francisco-3/26/00. Chemistry of Tobacco Smoke. pp. 1-8.	
	169.	Seeman, J. et al. (1999). "The Form of Nicotine in Tobacco. Thermal Transfer of Nicotine and Nicotine Acid Salts to Nicotine in the Gas Phase," <i>J. Agric. Food Chem.</i> 47(12):5133-5145.	
	170.	Sekine, H. and Nakahara, Y. (1987). "Abuse of Smoking Methamphetamine Mixed with Tobacco: 1. Inhalation Efficiency and Pyrolysis Products of Methamphetamine," <i>Journal of Forensic Science</i> 32(5):1271-1280.	
	171.	Vapotronics, Inc. (1998) located at http://www.vapotronics.com.au/banner.htm , 11 pages, (visited on June 5, 2000).	
	172.	Ward, M.E. MD, et al. (December 1997). "Morphine Pharmacokinetics after Pulmonary Administration from a Novel Aerosol Delivery System," <i>Clinical Pharmacology & Therapeutics</i> 62(6):596-609.	
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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00030.03CON

Application Number: 10/735,198

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

173.

Wood, R.W. et al. (1996). "Generation of Stable Test Atmospheres of Cocaine Base and Its Pyrolyzate, Methylecgonidine, and Demonstration of Their Biological Activity." *Pharmacology Biochemistry & Behavior*. 55(2):237-248.

EXAMINER:

DATE CONSIDERED:

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